



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alan R. Kaufman

Application No.: 09/900,106

Filed: July 6, 2001

For: METHOD FOR ISSUING A  
DERIVATIVE CONTRACT

Examiner: Bashore, Alain L.

Group No.: 3624

#9/A  
H/7/04

AMENDMENT

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following is respectfully submitted in response to the Official Action mailed on  
May 7, 2003.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of  
this paper.

**Remarks** begin on page 7 of this paper.

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

Express Mail Label No. EV260287567US

Date of Deposit March 15, 2004

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted  
or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service  
under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop Petition, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name Daniel H. Golub

Signature